

O I P E J O 2 3  
JAN 31 2000  
PATENT & TRADEMARK OFFICE

Sector

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Balachandran et al. EXAMINER: N/A  
SERIAL NO.: 09/431,996 GROUP: 2749  
FILED: 11/2/1999 CASE NO.: Bal\*1/LUC-114(F)  
ENTITLED: SYSTEM AND METHOD FOR WIRELESS COMMUNICATION  
SUPPORTING LINK ADAPTATION AND INCREMENTAL  
REDUNDANCY

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**RESPONSE TO NOTICE OF MISSING PARTS**

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

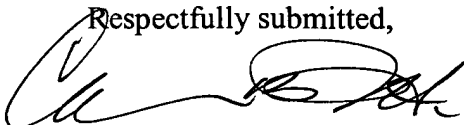
Dear Sir:

Please find enclosed the following documents pertaining to the above referenced application:

1. Form PTO-1533
2. Assignment Transmittal Letter with 1-copy of fee sheet
3. 4-page Executed Assignment
4. 6-page Executed Declaration
5. Return Receipt Postcard

The Commissioner is hereby authorized to charge any necessary fees to the Lucent Technologies, Inc. Deposit Account 12-2325. In the event of non-payment or improper payment of a required fee, the Commissioner is hereby authorized to charge or credit the Lucent Technologies Inc. Deposit Account No. 12-2325 as required to correct the error. A duplicate copy of this letter is enclosed for fee purposes.

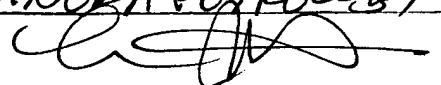
Respectfully submitted,



Carmen B. Patti  
Registration No. 26,784

**CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on

JAN 28 2000  
WANDA PERLOUSKY  






UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
|--------------------|---------------------|-----------------------|---------------------------|

09/431,996 11/02/99 BALACHANDRAN K BAL-7/LUC-11

0262/1130

WILDMAN HARROLD ALLEN & DIXON  
225 WEST WACKER DRIVE  
CHICAGO IL 60606

NOT ASSIGNED

2749

DATE MAILED:

11/30/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION

#### Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
- ☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

AJONES

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

02/02/2000 MARJUL 0000113 122325 09/31/96

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